

Managing Financial and Legal Risk

June 6, 2024



Course Description: Unlock the power of financial foresight and legal acumen by joining our exclusive Lunch and Learn session on "Managing Financial and Legal Risk." In this 1 1/2 hour course, participants will delve into the intricacies of safeguarding endowments, navigating planned gifts, and crafting meticulous gift acceptance policies. The session aims to empower participants with the knowledge and skills needed to ensure a resilient and thriving future for their organizations. Through interactive discussions, case studies, and practical exercises, attendees will gain valuable insights into mitigating financial and legal risks, thereby enhancing the overall sustainability and success of their institutions.

Course Objectives:

Participants will be able to:

- Articulate the importance of financial & legal risk management for stable financial health.
- Demonstrate the ability to safeguard endowments through effective risk mitigation strategies.
- Understand the legal implications and communication strategies for a planned gift program.
- Enhance their role within their organizations by contributing to the development of a resilient and forward-thinking financial and legal risk management framework.

Agenda:

Welcome and Introduction (10 minutes)

- Welcome and Introduction to the Course
- Overview of the Importance of Financial and Legal Risk Management

Understanding the Importance of Financial and Legal Risk Management (20 minutes)

- Explore the Significance of Proactive Risk Management
- Identify Potential Risks Associated with Endowments, Planned Gifts, & Gift Acceptance Policies
- Q&A and Group Discussion

Safeguarding Endowments

- Examine Best Practices for Managing and Protecting Endowments
- Learn Strategies to Optimize Endowment Performance while Minimizing Risk
- Case Study: Analyzing Endowment Management
- Interactive Discussion and Q&A

Navigating Planned Gifts (20 minutes)

- Understand Various Types of Planned Gifts and Legal Implications
- Develop Skills in Effectively Communicating with Donors on Planned Giving Options
- Case Study: Successful Implementation of Planned Giving
- Interactive Discussion and Q&A

Crafting Meticulous Gift Acceptance Policies (10 minutes)

- Explore Key Elements of Comprehensive Gift Acceptance Policies
- Review Sample Policies that Align with Legal Requirements

Case Studies (15 minutes)

- Analyze Real-world Case Studies to Reinforce Theoretical Knowledge

Q&A and Learning Outcomes Review (10 minutes)

- Recap of Key Takeaways
- Review of Learning Outcomes
- Closing Remarks and Acknowledgment



BRENT THOMAS

SENIOR VICE PRESIDENT AND INSTITUTIONAL ADVISOR,
FARTHER

Brent currently operates as the national charitable solutions practice leader for Farther and maintains a private planned giving consulting practice. He has worked with hundreds of charitable organizations and philanthropists to develop legacy, planned giving and endowment building strategies, while adopting strong governance and fiduciary oversight protocols. His work ranges from faith-based and civic organizations to higher education and private foundations. He finds the fiduciary aspect of charitable organization problem solving rewarding.

Brent developed his expertise with several trust company and regional bank investment roles, which began working with a DuPont family trust company in Delaware. He serves on the Planned Giving Advisory Board for the LSU Foundation and is a member of AFP, Charitable Gift Planners and the National Association of Estate Planning Councils.